



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2137
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In re application of: **Shrader et al.**

Serial No.: **09/460,839**

Filed: **December 14, 1999**

For: **Method and System for
Presentation and Manipulation of
PKCS Enveloped-Data Objects**

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Group Art Unit: **2137**

Examiner: **Fields, Courtney D.**

Attorney Docket No.: **AUS990880US1**

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

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By:

Dell Whitton
Dell Whitton

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2100

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AA; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee
Duke W. Yee

Registration No. 34,285

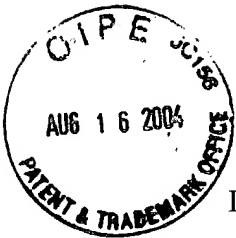
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ATTORNEY FOR APPLICANTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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§ Group Art Unit: **2137**
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§ Examiner: **Fields, Courtney D.**
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§ Attorney Docket No.: **AUS990880US1**
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

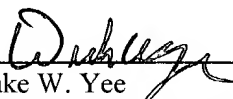
In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental

Information Disclosure Statement shall not be construed to mean that a search has been made.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is required.

Date: August 13, 2004

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Duke W. Yee", is written over a horizontal line.

Duke W. Yee
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Form PTO-1449			ATTORNEY DOCKET NO. AUS990880US1		SERIAL NO. 09/460,839	
LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			APPLICANT Shrader et al.			
			FILING DATE December 14, 1999		GROUP ART UNIT 2137	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME		CLASS/ SUBCLASS	FILING DATE
					RECEIVED	
						AUG 19 2004
						Technology Center 2100
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY		CLASS/ SUBCLASS	TRANSLATION YES NO
	AA 11-242704	07.09.1999	Japan			X
OTHER PRIOR ART <i>(including author, title, date, pertinent page, etc.)</i>						
RELATED PATENT APPLICATIONS						
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE			FILING DATE
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

